

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 2023I
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APPLICATION NUMBER FILING/RECEIPT DATE FIRST NAMED APPLICANT ATTORNEY DOCKET NUMBER

09/849,168

23669

05/04/2001

Michael Neal

DT:0101

CONFIRMATION NO. 1864 FORMALITIES LETTER

OC00000006252201

JAMES W HUFFMAN 1832 N. CASCADE AVE. COLORADO SPRINGS, CO 80907-7449

Date Mailed: 07/02/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
 Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$342.
 - \$342 for 19 total claims over 20.
- The oath or declaration is missing.
 A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 1182.

A copy of this notice <u>MUST</u> be returned with the reply.

Customer Service Center

Initial Patent Examination Division (703) 308-1202

08/03/2001 CNGUYEN 00000089 09849168

PART 1 - ATTORNEY/APPLICANT COPY

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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Phil Delurgio Hau Lee Michael Neal Suzanne Valentine Krishna Venkatraman

DT:0101

Serial No.:

09849168

Filing Date:

5/4/2001

Title:

APPARATUS FOR MERCHANDISE PRICE

OPTIMIZATION

RESPONSE TO NOTICE TO FILE MISSING PARTS

Assistant Commissioner for Patents Washington, D.C. 20231

Dear Sir:

In response to the Notice to File Missing Parts, dated July 2, 2001, with a statutory period of response set to expire September 2, 2001, Applicant is enclosing herewith:

executed declaration of the inventors and combined power of attorney an assignment of the invention to Demand Tec. Inc. with cover page a check in the amount of \$591 to cover the filing fee and the \$65 surcharge (small entity) a check in the amount of \$40 for recordation of the assignment a copy of the Notice to, File Missing Parts of Nonprovisional Application

Respectfully subplitted, 10

James W/H/ffm/an 15

Huffman Law Group, P.C. Registration 10. 35,549

1832 N. Cascade Ave.

Colorado Springs, CO 80907

719.475.7103

719.623.0141 fax 20 jim@huffmanlaw.net

Date:

"EXPRESS MAIL" mailing label number <u>EUp 7940339344</u>
Date of Deposit 713101. I hereby certify that this paper is being deposited with the U.S. Postal Service Express Mail Post Office to Addressee Service under 37 C.F.R. §1.10 on the date-shown above and is addressed to the U.S. Commissioner of Patents and Trademarks, Washington, D. 2 20231.

By Substitute Drug 77